



Trinidad and Tobago Securities and Exchange Commission

Published in accordance with section 159 of the Securities Act 2012

On May 12, 2014 the Trinidad and Tobago Securities and Exchange Commission ("the Commission") through its Pre Hearing Conference Panel constituted under Rules made in accordance with section 21 of the Securities Act 2012 ("the Act") after giving a registrant the opportunity to make oral and written submissions and in the public interest in accordance with section 156 of the Act imposed an administrative fine of TT\$175,000.00 for the registrant's contravention of section 51 of the Act.

In accordance with section 159 (8) (c) of the Act and in the public interest, the Commission also ordered that the name of the affected person be omitted from this publication. The proceeds of the administrative fine is payable to the general revenue of Trinidad and Tobago

BY ORDER OF THE COMMISSION

Dated July 17, 2014