



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of an Application by RBC Financial (Caribbean) Limited for de-registration as a Reporting Issuer pursuant to Section 71(1) (b) of the Securities Act, 2012 (“the Act”)

ORDER OF THE COMMISSION

Dated this 29th day of June 2017

WHEREAS:

1. RBC Financial (Caribbean) Limited (“RBC Caribbean”), formerly RBTT Financial Holding Limited and RBC Holdings Trinidad Limited prior to an amalgamation, was registered with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) as a Reporting Issuer effective 30th July, 1998;
2. By letter dated 24th October, 2016, RBC Caribbean submitted an application for its de-registration as a Reporting Issuer under the Act; and
3. RBC Caribbean indicated that due to its repayment of its outstanding debt, the Callable Bond Issue, it wishes to be de-registered.

AND UPON:

4. The Board of Commissioners considering an application for the de-registration of RBC Caribbean at its meeting on 28th April, 2017;
5. The Commission being satisfied that making an Order for the de-registration of RBC Caribbean as a Reporting Issuer under Section 71(1)(b) of the Act will not adversely affect the rights of any person; and
6. The Commission considering that it would not be contrary to the public interest, to make the following Order in accordance with sections 71(1)(b) and 159(10) of the Act.

IT IS HEREBY ORDERED THAT:

- I. RBC Caribbean be removed from the register of Reporting Issuers maintained by the Commission;
- II. RBC Caribbean is no longer registered with the Commission as a Reporting Issuer in accordance with Section 71(1)(b) of the Act;
- III. The de-registration of RBC Caribbean is approved and there is no need for a hearing in this matter in accordance with Section 159(10) of the Act;
- IV. This Order shall be published in the Trinidad and Tobago Gazette and on the Commission's website; and
- V. This Order shall be effective from 28th April, 2017.

BY ORDER OF THE COMMISSION