



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

PUBLIC NOTICE

THE PUBLIC IS HEREBY NOTIFIED that the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) imposes administrative fines pursuant to sections 156 and 156A of the Securities Act Chap 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Securities Act”) for contraventions of the Securities Act and subsidiary legislation by persons.

The fines collected by the Commission as a Collector of Revenue on behalf of the State are paid into the general revenue of Trinidad and Tobago and are not retained by nor used for the Commission’s operations.

THE PUBLIC IS FURTHER NOTIFIED that effective April 1, 2019 all administrative fines are to be paid:

- a.) ***in the case of local persons***- by manager’s cheque, wire transfer or electronic payment methods only; and
- b.) ***in the case of foreign persons***-by wire transfer or electronic payment methods only.

BY ORDER OF THE COMMISSION