



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Section 40 of the Securities Act, 2012

AND

In the Matter of an Application by the Trinidad and Tobago Stock Exchange Limited (“the TTSE”) for the amendment of Rule 203 [Ex-condition Dealing] and Rule 212 [Dealing and Account Periods] of the TTSE Rules

ORDER OF THE COMMISSION

Dated this 18th day of December 2023.

WHEREAS the Trinidad and Tobago Stock Exchange Limited (“the Exchange” or “the TTSE”) applied to the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) for approval to amend Rule 203 [Ex-condition Dealing] and Rule 212 [Dealing and Account Periods] of the TTSE Rules.

AND WHEREAS the Commission completed the process of inviting public comment on the proposed amendments pursuant to section 40 of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);

AND WHEREAS the Commission determined that it was in the public interest to conduct a Hearing concerning the proposed amendments.

AND UPON:

1. This Matter being heard by the Commission’s Hearing Panel on the 6th June 2023.

2. The Staff of the TTSE and the Staff of the Commission making oral representations to the Hearing Panel.
3. The Hearing Panel being satisfied that it was not contrary to the public interest and otherwise there was no basis for refusing to approve the requested amendments pursuant to section 40 of the Act.

IT IS HEREBY ORDERED THAT the amendments to **Rule 203 and Rule 212** of the TTSE Rules are hereby approved with effect from the 4th July 2023.

BY ORDER OF THE COMMISSION