



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of By-Law 39(1) of the Securities (General) By-Laws 2015

by

Murphy Clarke Financial Limited

ORDER OF THE COMMISSION

Dated this 19th day of December, 2016

WHEREAS Murphy Clarke Financial Limited (“**the Respondent**”) filed its audited annual Comparative Financial Statements with the Commission for its financial year ended June 30, 2016, on September 30, 2016, two (2) days past the due date of September 28, 2016;

AND WHEREAS by letter dated November 8, 2016 staff of the Commission (“**the Staff**”) informed the Respondent that it was in breach of By-Law 39(1) of the Securities (General) By-Laws 2015 (“**the By-Laws**”) by filing its audited annual Comparative Financial Statements with the Commission for its financial year ended June 30, 2016 on September 30, 2016, two (2) days past the due date of September 28, 2016;

AND WHEREAS by letter dated November 11, 2016 the Respondent acknowledged that it was in contravention of By-Law 29 (1) of the By-Laws and enclosed a “**Scotiabank cheque #000895, dated 11/11/16, payable to Trinidad & Tobago Securities & Exchange Commission, in the sum of Two Thousand Dollars**” as payment of an administrative fine for the late filing of the said audited annual Comparative Financial Statements.

IT IS HEREBY ORDERED THAT:

1. The Respondent has contravened By-Law 39(1) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent has paid to the Commission, an administrative fine in the sum of **Two Thousand Trinidad and Tobago Dollars (\$2,000.00)**, receipt of which the Commission hereby acknowledges; and

3. This Order is to be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

Dated this 19th day of December, 2016

BY ORDER OF THE COMMISSION