

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

National Maintenance Training and Security Company Limited

ORDER OF THE COMMISSION

Dated this 27TH day of February, 2024

WHEREAS Section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") requires a Reporting Issuer to file its Interim Financial Statement with the Trinidad and Tobago Securities and Exchange Commission ("the Commission"), within the prescribed timeframe;

AND WHEREAS National Maintenance Training and Security Company Limited ("the Respondent"), filed its Interim Financial Statement for the period ended September 30, 2023, with the Commission past the due date of November 29, 2023;

AND WHEREAS staff of the Commission ("the Staff") advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file the aforementioned document with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act and agreed to pay the consequential administrative fine;

AND UPON the Board of Commissioners considering the submissions in the matter and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

- 1. National Maintenance Training and Security Company Limited ("the Respondent") has contravened Section 66(1) of the Act;
- 2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00) within twenty-eight days of making this Order; and
- 3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION