



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**IN THE MATTER OF SECTION 40 OF
THE SECURITIES ACT, 2012**

AND

**AMENDMENTS TO THE TRINIDAD AND TOBAGO STOCK
EXCHANGE LIMITED'S CAPITAL REQUIREMENT RULE**

NOTICE

TAKE NOTICE that on the 15th day of September 2016, the Trinidad and Tobago Stock Exchange Limited (“the TTSE”), a duly registered Self-Regulatory Organisation under section 36 of the Securities Act, 2012 (“the Act”), filed with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) a concise Statement of Substance and Purpose in respect of **amendments to the TTSE’s Capital Requirement Rule** pursuant to section 40 of the Act.

The aforementioned Statement of Substance and Purpose can be accessed via the Commission’s website at the following link:

<http://ttsec.org.tt/statement-of-substance-and-purpose-proposed-amendment-to-capital-requirement-rule/>

Hard copies can also be obtained from the offices of the Commission and the TTSE at the following addresses:

Trinidad and Tobago Securities and Exchange Commission
57-59 Dundonald Street
Port of Spain

Or

Trinidad and Tobago Stock Exchange Limited
10th Floor
Nicholas Tower

63-65 Independence Square
Port of Spain

AND FURTHER TAKE NOTICE that the Commission is inviting any interested persons to submit written comments on the proposed amendments no later than **October 24, 2016**. Comments may be submitted by letter addressed to the Chief Executive Officer, Trinidad and Tobago Securities and Exchange Commission, 57-59 Dundonald Street, Port of Spain OR by electronic mail at capitalcomments@ttsec.org.tt .

Dated this 4th day of October, 2016

C. WAINWRIGHT ITON
CHIEF EXECUTIVE OFFICER