

# **MEDIA RELEASE**

**(For Immediate Release)**

**Trinidad and Tobago Securities and  
Exchange Commission  
57-59 Dundonald Street  
Port of Spain**



**May 15, 2018**

## **TTSEC & USSEC Host 8<sup>th</sup> Capital Market Development Training Workshop**

The Trinidad and Tobago Securities and Exchange Commission (TTSEC) in collaboration with the United States Securities and Exchange Commission (USSEC) is hosting its eighth successful training programme on 'Capital Market Oversight and Development in the Caribbean'. This four-day training seminar runs from May 14-17, and targets over 50 participants inclusive of market actors and regulators from Trinidad and Tobago, and the region.

The programme delves into the issues and challenges currently facing regulators locally and regionally, and covers best practices in the oversight of capital markets, to maximise both investor protection and market development. Speaking at the opening ceremony Mr. Hadyn Gittens, Chief Executive Officer, TTSEC, publicly endorsed this long established collaboration between the two regulators for the provision of specialised training opportunities for regulators within the financial services industry in the Caribbean. He added that, "This programme presents significant opportunities for participants to be exposed to various technical and trending issues and evolving best practices within the regulatory field".

This year our programme features a USSEC team of experienced presenters who aim to emphasise practical solutions to common market problems and abuses, and highlight several case studies. The USSEC facilitators involved in this year's programme are: Kurt Gresenz, Assistant Director in the Office of International Affairs; Julie A. Preuitt, Senior Special Advisor in the SEC's Office of International Affairs; and Matthew M. O'Toole, Senior Special Counsel for the national examination program in the San Francisco Regional Office of the U.S. SEC.

Some of the topics covered in this year's interactive training sessions include: 'Investigating Financial Fraud by Stock Issuing Companies'; 'Ponzi, Pyramid and Other Off-Market Frauds'; 'Regulatory Technology for Examinations', 'Surveillance and Enforcement'; 'Initial Coin Offerings'; 'Interview Techniques in Conducting Investigations'; 'Money Laundering and the Securities Industry', 'Techniques and procedures for investigating and prosecuting market manipulation and insider trading cases'; among others.

These sessions are exceptional training and development opportunities for all regulators, in the financial sector. "The positive response from our fellow regulators, bears testimony to the importance that we all ascribe to this programme", said Mr. Gittens. He continued saying that the Commission as a regulator is a learning organisation, keen on developing the capacity of its staff and the securities industry, toward protecting investors and by extension, helping to develop our economy.



From left to right: **Matthew M. O'Toole**, Senior Special Counsel for the national examination program in the San Francisco Regional Office of the U.S. SEC; **Lystra Lucillio**, Deputy CEO, TTSEC; **Kurt Gresenz**, Assistant Director in the Office of International Affairs; **Hadyn Gittens**, CEO, TTSEC; **Julie A. Preuitt**, Senior Special Advisor in the SEC's Office of International Affairs.



*Kurt Gresenz, Assistant Director in the Office of International Affairs meets with Hadyn Gittens, CEO, TTSEC.*





*Kurt Gresenz, Assistant Director in the Office of International Affairs delivers the first session: "Investigating Financial Fraud by Stock Issuing Companies"*

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**About the TTSEC:**

The TTSEC is governed by the Securities Act 2012 and is responsible for maintaining oversight of the securities market and for ensuring that fairness, equity and transparency remain common values in the market place. Our vision is to be an effective regulator of the capital market in which stakeholders have confidence.

**About the USSEC:**

The mission of the U.S. Securities and Exchange Commission (USSEC) is to protect investors, maintain fair, orderly, and efficient markets, and facilitate capital formation.

**Contact:**

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