

AUTHORISATION FOR THE HYPOTHECATION OF FUNDS

I, _____ ("the Authoriser")
of _____, do herein and hereby
authorise _____ ("the
Financial Institution") of _____ to place a lien
over the sum of/equivalent to FIFTY THOUSAND TRINIDAD AND TOBAGO
DOLLARS
(\$50,000.00), which sum is currently invested in _____ and held in
an investment account with the Financial Institution.

The lien is made in favour of the **TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**, ("the Commission"), a body corporate duly established under the Securities Act 2012 and having its principal place of business at 57 – 59 Dundonald Street, Port of Spain.

This lien is given in order to secure the Authoriser's compliance with the requirements of Section 52(1) of the Securities Act, 2012 and By-Law 27(1)(d) of the Securities (General) By-Laws, 2015.

The particulars of my account with the Financial Institution are as follows:

✍ Investment Account Number # _____.

I warrant, guarantee and represent that I am the sole legal owner and/or have the legal and/or equitable right of possession of the sum of/equivalent to TT\$50,000.00 held in an investment account # _____.

I further represent that I, my representatives, servants and/or agents, will not deal with the sum in investment account # _____ during the life of this authorisation and more

particularly, until such time as a discharge duly executed by myself and representatives of the Commission, is delivered to the Financial Institution.

I hereby request that the Financial Institution do hold in its hands the sum of/equivalent to fifty thousand Trinidad and Tobago dollars (TT\$50,000.00), described above, in trust for and on behalf of the Commission for the term of this authorisation.

IN WITNESS WHEREOF the Authoriser, _____ and duly authorised representatives of the TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION have hereunto set their hands this _____.

SIGNED by _____]
_____]

In the presence of: _____]
(_____)
_____)

Before me,

Commissioner of Affidavits

SIGNED for and on behalf of the _____]
TRINIDAD AND TOBAGO _____]
SECURITIES AND EXCHANGE _____]
COMMISSION _____]
_____]
In the Presence of: _____]

Before me,

Commissioner of Affidavits

