

AUTHORISATION FOR THE HYPOTHECATION OF FUNDS

I, _____ ("the Authoriser") of _____, do herein and hereby authorise _____ ("the Bank") of _____ to place a lien over the sum of FIFTY THOUSAND TRINIDAD AND TOBAGO DOLLARS (\$50,000.00), which sum is currently deposited in my account.

The lien is made in favour of the TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION, ("the Commission"), a body corporate duly established under the Securities Act 2012 and having its principal place of business at 57 – 59 Dundonald Street, Port of Spain.

This lien is given in order to secure the Authoriser's compliance with the requirements of Section 52(1) of the Securities Act, 2012 and By-Law 27(1)(d) of the Securities (General) By-Laws, 2015.

The particulars of my account with the Bank are as follows:

- Account Number # _____

I warrant, guarantee and represent that I am the sole legal owner and/or have the legal and/or equitable right of possession of the sum of \$50,000.00 held in bank account # _____.

I further represent that I, my representatives, servants and/or agents, will not deal with the sum in bank account # _____ during the life of this authorisation and more particularly, until such time as a discharge duly executed by myself and representatives of the Commission, is delivered to the Bank.

I hereby request that the Bank do hold in its hands the sum of fifty thousand dollars (\$50,000.00), described above, in trust for and on behalf of the Commission for the term of this authorisation.

IN WITNESS WHEREOF the Authoriser, _____ and duly authorised representatives of the TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION have hereunto set their hands this _____.

SIGNED by _____]

In the presence of: _____]
_____]

And of me,

Notary Public

SIGNED for and on behalf of the TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION _____]
In the Presence of: _____]

And of me,

Attorney-at-Law