

## FORM 6

### NOTIFICATION

#### Pursuant to section 56 (4) of the Securities Act, 2012 and By-law 53 of the Securities (General) By-Laws, 2015

<b>General Instructions:</b>	<p>Please complete all relevant sections; where the allocated space is insufficient, you may continue on a separate page and attach to the completed form. All supporting information and attached pages should be appropriately numbered and referenced.</p> <p>This form and any attachments should be certified in accordance with Item 7 of these Instructions.</p> <p>Completed Forms should be submitted to:</p> <p style="text-align: center;">The Director Disclosure Registration and Corporate Finance Trinidad and Tobago Securities and Exchange Commission 57-59 Dundonald Street Port of Spain Trinidad</p>
<b>Item 1</b>	State exact name as specified in the Registrant's national identification (in the case of an individual) or constituting or organizational documents.
<b>Item 2</b>	State the registrant's principal business address, website, telephone numbers, email addresses and fax numbers.
<b>Item 3</b>	Please mark "x" by the relevant checkbox to indicate the registrant's category or categories of registration.
<b>Item 4</b>	Identify the type of change that is the subject of this Form, based on the content of List A or List B of Schedule 3 of the By-laws
<b>Item 5</b>	Attach and mark as an exhibit to this Form a statement of particulars of any change to any information set out List A or List B of Schedule 3 of the By-laws.

	These particulars should include the date and details of the event that caused the submission of this Form. Where applicable, also include certified copies of any documents supporting the change.
<b>Item 6</b>	State the name, business telephone number and email address of the designated officer of the registrant who is knowledgeable about the notice and who may be contacted for discussions.
<b>Item 7</b>	Date the application. Certify this form and any attachment (s), by including the signature of the Registrant (if an individual) or the signatures of the Chief Executive Officer and two directors of the Registrant (if a company). Where the Chief Executive Officer is unavailable to sign the form, the form should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this form.



## FORM 6

### NOTIFICATION OF CHANGE

**Pursuant to section 56 (4) of the Securities Act, 2012 and By-law 53 of the Securities (General) By-Laws, 2015**

**1. NAME OF REGISTRANT**

Name of Registrant

**2. CONTACT DETAILS OF REGISTRANT**

Primary Business Address			
Work Phone (1-xxx-xxx-xxxx)		Fax Phone (1-xxx-xxx-xxxx)	
Mobile Phone (1-xxx-xxx-xxxx)		Email Address	

**3. CATEGORY OF REGISTRATION**

Broker Dealer	<input type="checkbox"/>
Investment Adviser (only)	<input type="checkbox"/>
Underwriter	<input type="checkbox"/>
Reporting Issuer	<input type="checkbox"/>

**4. TYPE OF CHANGE – AS PER SCHEDULE 3 OF SECURITIES (GENERAL) BY-LAWS, 2015**

List A	List B

**5. DETAILS OF CHANGES**

See General Instructions

## 6. CONTACT PERSON AT REGISTRANT

Name (First Name Last Name)			
Position in Organization			
Work Phone (1-xxx-xxx-xxxx) ext. xxx		Fax Phone(1-xxx-xxx-xxxx)	
Mobile Phone (1-xxx-xxx-xxxx)		Email Address	

## 7. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

\_\_\_\_\_  
**Print Name**

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**Print Name**

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**Print Name**

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**Date**

**FOR OFFICIAL USE ONLY**

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : \_\_\_\_\_ Date (DD/MM/YYYY) \_\_\_\_\_

