

FORM 16

CERTIFICATE AS AN APPROVED FOREIGN ISSUER

Pursuant to section 80(1)(a)(i) of the Securities Act, 2012 and by-law 76(1) of the Securities (General) By-Laws, 2013

General Instructions:	<p>This certificate must accompany the filing of all prospectuses being forwarded to the Commission by issuers seeking to invoke the "Approved Foreign Issuer" exemption provided for at Section 80(1) of the Securities Act 2012.</p> <p>This certificate should be certified by the Chief Executive Officer of the Foreign Issuer. Where the Chief Executive Officer is unavailable to sign the certificate, the certificate should be signed by any other duly authorized senior officer whose proof of authorization must be submitted with this certificate.</p> <p>The completed certificate should be addressed to:</p> <p style="padding-left: 40px;">The Director Disclosure Registration and Corporate Finance Trinidad and Tobago Securities and Exchange Commission 57-59 Dundonald Street Port of Spain Trinidad</p>
Required Attachments	<p>Documentary evidence which can substantiate each of the statements made in this form.</p> <p>A completed and signed Form 17</p>

FORM 16

CERTIFICATION AS AN APPROVED FOREIGN ISSUER

Pursuant to section 80(1) (a) (i) of the Securities Act, 2012 and by-law 76(1) of the Securities (General) By-Laws, 2013

I, _____ (Name of Chief Executive Officer or equivalent), _____ (Position at the approved foreign issuer) of _____ (Name of approved foreign issuer), certify that _____ (Name of approved foreign issuer):

- a) Was registered as _____ (insert category of registration) with _____ (name of foreign regulator) on _____ (registration date);
- b) Has been for the three (3) years immediately preceding the date of this declaration, a _____ (insert category of registration) under the securities laws of _____ (insert jurisdiction) ;
- c) Meets the market capitalization requirements as set out in By-law 8(1) of the Securities (General) By-laws, 2013;
- d) Is subject to the disclosure requirements of _____ (insert jurisdiction); and

in accordance with the definition of the term "Approved Foreign Issuer" as set out at Section 4(1) of the Securities Act 2012.

I hereby certify that the statements and information in this form are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

Print Name

Signature

Position

Date

FOR OFFICIAL USE ONLY

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Records Management Date Received (dd/mm/yyyy)	

Approved By : _____

Date (DD/MM/YYYY) _____