



Trinidad and Tobago Securities and Exchange Commission

Opening remarks at the Capital Market Development Workshop

by Mr. Hadyn Gittens, Chief Executive Officer, TTSEC

May 14, 2018

Movie Towne Banquet and Conference Centre

Draft Salutations (TBC)

- Mr. Jason M. Hammontree, Acting Political/Economic Section, Chief Representative from the US Embassy
- Kurt Gresenz, Assistant Director in the Office of International Affairs, and Team of Facilitators from the USSEC
- Specially invited guests from the Region
- Representatives from local entities
- Management and Staff of the TTSEC

Good Morning.

Welcome

It is my pleasure to welcome you to our eighth Capital Market Development Workshop in conjunction with the United States, Securities and Exchange Commission.

This collaboration between the two regulators dates back to 2006, where, at our very first Workshop, the U.S. SEC offered specialised training opportunities for regulators within the financial services industry in the Caribbean.

The U.S. SEC has a proud history of solid delivery by excellent facilitators at their outreach sessions and I can assure you that this year's event will be no different. This year, our high-powered, expert presenters are: Kurt Gresenz, Assistant Director in the Office of International Affairs; Julie A. Preuitt, Senior Special Advisor in the SEC's Office of International Affairs; and Matthew M. O'Toole, Senior Special Counsel for the national examination program in the San Francisco Regional Office of the U.S. SEC.

We at the TTSEC remain committed to the training and development of our staff and to building our institutional capacity in order to meet the

needs of an ever-changing financial environment. This programme presents a significant opportunity for exposure by participants to various technical and trending issues and evolving best practices within the regulatory field.

We are pleased to welcome our fellow local and regional regulators, some of whom have travelled long distances to participate in this important endeavour. I encourage you all to make the best use of the information and learnings that will be made available to you over the next four days and to fully exploit this opportunity to develop your abilities within your specific area of expertise.

This programme is uniquely tailored and delves into the issues and challenges currently facing regulators locally, regionally and internationally. Participants will be able to share and engage in useful discussion over best practices in regulatory oversight of capital markets in order to ensure effective investor protection as well as facilitate market development.

The presenters will focus on practical solutions to common market issues using case studies and interactive sessions aimed at helping you

develop appropriate on the job strategies and tactics. We have a full agenda and four busy days ahead of us and I look forward to lots of stimulating discussion and interaction.

I now take this opportunity to hand you over to Mr. Kurt Gresenz, Senior Assistant Director, Office of International Affairs, U.S. Securities and Exchange Commission to bring brief remarks and open today's training segment.

Thank you.