



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

The Trinidad and Tobago Housing Development Corporation

ORDER OF THE COMMISSION

Dated this 22nd day of November, 2018

WHEREAS section 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) require a reporting issuer to file with the Commission, within the prescribed time frame, its revised registration statements;

AND WHEREAS The Trinidad and Tobago Housing Development Corporation (“the Respondent”), filed its revised registration statements with the Commission for the financial years ended September 30, 2017 past the due date of October 16, 2017;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 61(2) of the Act by failing to file its revised registration statements with the Commission for the financial year ended September 30, 2017 within the prescribed timeframe;

AND WHEREAS the Respondent entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Twenty Thousand Nine Hundred Trinidad and Tobago dollars (TT\$20,900.00) within twenty-eight days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated November 9, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad and Tobago Housing Development Corporation (“the Respondent”) has contravened 61(2) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Twenty Thousand Nine Hundred Trinidad and Tobago dollars (TT\$20,900.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION