



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

**By**

**RBTT Finance Limited**

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**ORDER OF THE COMMISSION**

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Dated this 26<sup>th</sup> day of April, 2019

**WHEREAS** Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) require a Reporting Issuer to file with the Commission, within the prescribed time frame, its interim financial statements;

**AND WHEREAS** RBTT Finance Limited (“the Respondent”), filed with the Commission its interim financial statements for the interim period ended January 31, 2018 past the required due date of April 3, 2018;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its interim financial statements with the Commission for the aforementioned interim period, by the required due date;

**AND WHEREAS** the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforementioned interim financial statements with the Commission past the due date of April 3, 2018;

**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 025 of 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. RBTT Finance Limited (“the Respondent”) has contravened Section 66(1) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Forty-Two Thousand Trinidad and Tobago Dollars (TT\$42,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**