



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 136(1) of the Securities Act Chapter 83:02 of  
the Laws of the Republic of Trinidad and Tobago**

**By**

**Noel Wood**

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**ORDER OF THE COMMISSION**

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Dated this 11<sup>th</sup> day of October, 2021

**WHEREAS** Section 136(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on persons connected to Reporting Issuers;

**AND WHEREAS** Mr. Noel Wood (“the Respondent”) became a person connected to One Caribbean Media Limited (“OCM”) by virtue of his appointment as a Director of OCM, effective January 20, 2020;

**AND WHEREAS** the Respondent contravened Section 136(1) of the Act by filing a Form No. 22 Notification with the Commission past the due date of January 27, 2020;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that he was in contravention of Section 136(1) of the Act by failing to file the aforementioned document with the Commission within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that he was in contravention of Section 136(2) of the Act and entered into a settlement agreement with the Staff (“the Settlement Agreement”);

**AND WHEREAS** pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay to the Commission

the sum of Five Thousand Trinidad and Tobago Dollars (TT\$5,000.00) within twenty-eight (28) days of the making of this Order;

**AND UPON** the Board of Commissioners considering the terms of the Settlement Agreement dated October 6, 2021 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Noel Wood (“the Respondent”) has contravened Section 136(1) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Five Thousand Trinidad and Tobago Dollars (TT\$5,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**