



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of By-Law 40(1) of
the Securities (General) By-Laws, 2015**

By

Mondial (Trinidad) Limited

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS By-Law 40(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”) requires a registrant, registered under section 51(1) of the Securities Act, Chapter 83:02 Act of the Laws of the Republic of Trinidad and Tobago (“the Act”) to file an interim financial statement with the Commission, within a prescribed timeframe.

WHEREAS Mondial (Trinidad) Limited (“the Respondent”) filed its interim financial statements with the Commission for the interim period ending June 30, 2018, past the due date of August 29, 2018;

AND WHEREAS by letter dated September 28, 2018, Staff of the Commission informed the Respondent that it was in breach of By-Law 40(1) of the By-Laws by filing its interim financial statements with the Commission for the interim period ending June 30, 2018, past the due date of August 29, 2018;

AND WHEREAS by letter dated October 3, 2018 the Respondent acknowledged that it was in contravention of By-Law 40(1) of the By-Laws, and enclosed a cheque in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00) as payment of an administrative fine for the contravention.

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 058 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

1. Mondial (Trinidad) Limited (“the Respondent”) has contravened By-Law 40(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”);

1. Pursuant to Section 156(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”), the Respondent has paid to the Commission, an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00), receipt of which the Commission hereby acknowledges; and

2. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISSION