



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of the Securities (General) By-Laws, 2015**

by

**Eppley Caribbean Property Fund Limited SCC**

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**ORDER OF THE COMMISSION**

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Dated this 13 day of March 2019.

**WHEREAS** Eppley Caribbean Property Fund Limited SCC (“the Respondent”) filed its comparative financial statements for its financial year ended September 30, 2018, with the Commission, past the due date of December 31, 2018.

**AND WHEREAS**

1. By written communication, during the period of January 28, 2019 to February 5, 2019, Staff of the Commission (“the Staff”) informed the Respondent that it was in breach of Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws, 2015 (“the By- Laws”) by filing its audited annual comparative financial statements for its financial year ended September 30, 2018 with the Commission, three (3) days past the due date of December 31, 2018; and
2. By letter dated February 4, 2018 the Respondent acknowledged that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws, and liable to pay an administrative fine for the late filing of the said audited annual comparative financial statements.

**AND UPON** the Board of Commissioners considering the submissions in Board Paper No.14 of 2019 and being satisfied that the making of the Order is essentially procedural and does not require a Hearing Pursuant to Section 159(10) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Eppley Caribbean Property Fund Limited SCC (“the Respondent”) has contravened Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”);
2. Pursuant to section 156(2) of the Act, the Respondent is liable to pay to the Commission, an administrative fine in the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00); and
3. The Order is to be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**