



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 65(1) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of the  
Securities (General) By-Laws 2015**

**By**

**CinemaOne Limited**

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**ORDER OF THE COMMISSION**

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Dated this 26<sup>th</sup> day of April, 2019

**WHEREAS** section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws (“the By-Laws”) require a reporting issuer to file with the Commission, within the prescribed time frame, its audited annual comparative financial statements;

**WHEREAS** CinemaOne Limited (“the Respondent”), filed with the Commission its audited annual comparative financial statements for the financial year ended September 30, 2018 past the due date of December 31, 2018;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file its audited annual comparative financial statements with the Commission for the financial year ended September 30, 2018 within the prescribed timeframe;

**AND WHEREAS** the Respondent entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Twenty-Nine Thousand Seven Hundred and Fifty Trinidad and Tobago dollars (TT\$29,750.00) within twenty-eight days of the making of this Order;

**AND UPON:** the Board of Commissioners considering the submissions in the Settlement Agreement dated 22<sup>nd</sup> March, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. CinemaOne Limited (“the Respondent”) has contravened 65(1) of the Act and By-Law 48(1) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Twenty-Nine Thousand Seven Hundred and Fifty Trinidad and Tobago dollars (TT\$29,750.00) within twenty-eight days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**