

## TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

# In the Matter of the Contravention of Section 136(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

**Sharon Donaldson** 

#### ORDER OF THE COMMISSION

Dated this 19th day of December, 2024

**WHEREAS** Section 136(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") impose certain obligations on persons who are connected to Reporting Issuers;

**AND WHEREAS** Ms. Sharon Donaldson ("**the Respondent**") a person connected to Eppley Caribbean Property Fund Limited SCC filed a Form 22 Notification with the Commission past the due date of August 26, 2024, in contravention of Section 136(2) of the Act;

**AND WHEREAS** the Respondent contravened Section 136(2) of the Act, by failing to file the required Form No. 22 Notification with the Trinidad and Tobago Securities and Exchange Commission ("**the Commission**"), within the prescribed timeframe;

**AND WHEREAS** staff of the Commission ("the Staff") advised the Respondent that she was in contravention of Section 136(2) of the Act by failing to file the necessary documents within the prescribed timeframe.

**AND WHEREAS** the Respondent acknowledged that she was in contravention of Section 136(2) of the Act and agreed to pay the total consequential administrative fine of Four Thousand Trinidad and Tobago Dollars (TT\$4,000.00);

**AND UPON** the Board of Commissioners considering the submissions by the Staff and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

#### IT IS HEREBY ORDERED THAT:

- 1. Sharon Donaldson ("the Respondent") has contravened Section 136(2) of the Act;
- 2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission a total administrative fine in the sum of Four Thousand Trinidad and Tobago Dollars (TT\$4,000.00) within twenty-eight days (28) of making this Order; and
- 3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

### BY ORDER OF THE COMMISSION