



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section
61(2) of the Securities Act Chap. 83:02**

by

Scotiabank Trinidad and Tobago Growth Fund Inc.

ORDER OF THE COMMISSION

Dated this 25th day of November, 2016

WHEREAS Scotiabank Trinidad and Tobago Growth Fund Inc. (“**the Respondent**”) failed to file its **Revised Registration Statement** with the Trinidad and Tobago Securities and Exchange Commission (“**the Commission**”) on or before January 14, 2016, for its financial year ended December 31, 2015;

AND WHEREAS by letter dated March 21, 2016 staff of the Commission (“**the Staff**”) reminded the Respondent of its obligation to file its revised registration statement in accordance with section 61(2) of the Securities Act Chap. 83:02 (“**the Act**”);

AND WHEREAS the Respondent filed its revised registration statement with the Commission for its financial year ended December 31, 2015 on April 8, 2016, **eighty three (83) days** past the due date of January 14, 2016;

AND WHEREAS by letter dated June 23, 2016 the Staff advised the Respondent that it was in contravention of section 61(2) of the Act by failing to file its revised registration statement with the Commission on or before January 14, 2016;

AND WHEREAS by letter dated June 30, 2016 the Respondent acknowledged that it was in Contravention of section 61(2) of the Act.

IT IS HEREBY ORDERED THAT:

1. The Respondent has contravened section 61(2) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of **Eighty-Three Thousand Trinidad and Tobago Dollars (TT\$83,000.00)** within **twenty-eight** (28) days of the date on which this order is entered;
3. This Order is to be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

BY ORDER OF THE COMMISISON