



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 136(2) of the Securities Act Chapter 83:02 of  
the Laws of the Republic of Trinidad and Tobago**

**By**

**Nicholas Scott**

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**ORDER OF THE COMMISSION**

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Dated this 19<sup>th</sup> day of December, 2024

**WHEREAS** Section 136(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“**the Act**”) imposes certain obligations on persons who are connected to a Reporting Issuers;

**AND WHEREAS** Mr. Nicholas Scott (“**the Respondent**”) a person connected to Eppley Caribbean Property Fund Limited SCC filed a Form 22 Notification with the Commission past the due date of August 26, 2024, in contravention of Section 136(2) of the Act;

**AND WHEREAS** staff of the Commission (“**the Staff**”) advised the Respondent that he was in contravention of Section 136(2) of the Act by failing to file the form 22 within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that he was in contravention of Section 136(2) of the Act and agreed to pay the total consequential administrative fine;

**AND UPON** the Board of Commissioners considering the submissions by the Staff and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Nicholas Scott (“the Respondent”) has contravened Section 136(2) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission a total administrative fine in the sum of Four Thousand Trinidad and Tobago Dollars (TT\$4,000.00) within twenty-eight days (28) of making this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**