



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

**By**

**L.J. Williams Limited**

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**ORDER OF THE COMMISSION**

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**Dated this 27<sup>th</sup> day of July, 2023**

**WHEREAS** Section 61(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”), imposes certain obligations on reporting issuers;

**AND WHEREAS** L.J. Williams Limited (“the Respondent”), filed its Revised Registration Statement for the financial year ended March 31, 2023, with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 61(2) of the Act, by failing to file the said Revised Registration Statement within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that it was in contravention of Section 61(2) of the Act and agreed to pay the consequential administrative fine of Fourteen Thousand Trinidad and Tobago Dollars (TT\$14,000.00);

**AND UPON** the Board of Commissioners considering the submissions by the Staff and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

**IT IS HEREBY ORDERED THAT:**

1. L.J. Williams Limited (“the Respondent”) has contravened Section 61(2) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Fourteen Thousand Trinidad and Tobago Dollars (TT\$14,000.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**