

CHECKLIST FOR REGISTRATION OF A BRANCH OFFICE

Name of Applicant: _____

	Documents	Submitted	Comments
1.	Registration Fee - TT\$3,000 per office	<input type="checkbox"/>	
2.	Completed Form 7 for each office	<input type="checkbox"/>	
3.	Copy of written supervisory, internal controls and risk management policies and procedures, if these are different from the parent registrant	<input type="checkbox"/>	
4.	Description of business of a financial or securities nature that will be conducted at the Branch	<input type="checkbox"/>	

Signature of Designated Person

Date

Please submit the completed checklist together with the application

