



**FORM 10A**

**MATERIAL CHANGE REPORT**

Jan 27, 2023, 11:46 am

**Pursuant to Section 64 of the Securities Act, 2012**

1. NAME OF REPORTING ISSUER

Name of Reporting Issuer
CAPITAL MARKETS ELITE GROUP (TRINIDAD & TOBAGO) LIMITED

2. DATE OF MATERIAL CHANGE

Date of material change
FEBRUARY 01, 2023

3. DESCRIPTION OF MATERIAL CHANGE

Provide a description of the material change
<p>ON JANUARY 01, 2023, THE FINANCIAL INDUSTRY REGULATORY AUTHORITY ("FINRA") IN THE UNITED STATES AMENDED ITS TRADING ACTIVITY FEES CHARGED FOR EQUITY TRADES FROM \$0.000119 PER SHARE TO A MAXIMUM OF \$5.95 TO \$0.000145 PER SHARE TO A MAXIMUM OF \$7.27.</p> <p>EFFECTIVE FEBRUARY 01, 2023 CAPITAL MARKETS ELITE GROUP (TRINIDAD &amp; TOBAGO) LTD IS AMENDING THE TRADING ACTIVITY FEES CHARGED TO CLIENTS TO REFLECT THE NEW FEE STRUCTURE ROLLED OUT BY FINRA ON JANUARY 01, 2023.</p> <p>OUR CLIENTS WILL BE NOTIFIED OF THIS CHANGE BY WAY OF A BROADCAST MESSAGE ON JANUARY 27, 2023 WITH A FOLLOW UP MESSAGE GOING OUT TO ALL CLIENTS ON JANUARY 30, 2023.</p>

4. DETAILS OF PUBLICATION OF MATERIAL CHANGE

	YES	NO
Will you be seeking an exemption from publishing a notice in accordance with Section 64(2) of the Securities Act 2012?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

If “No”

Date of Publication of Notice (dd/mmm/yyyy)	N/A
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If “Yes”


State the reasons for applying for the exemption
<p>Capital Markets Elite Group (Trinidad and Tobago) Limited is applying for exemption from publication in accordance with Section 64 (2) of the Securities Act 2012, All of our clients will notified of the amendment to the fees via two email broadcasts on January 27, 2023 and January 30, 2023. The vast majority of the company's clients reside outside of Trinidad &amp; Tobago, so this was deemed to be the most efficient way to communicate these changes to our clients.</p> <p>As our clients will be informed of the amendments to the fees, and given the geographic location of the majority of our clients, we are requesting an exemption from the requirement to publish a notice in the local newspapers.</p>

5. DETAILS OF SENIOR OFFICER

Name (First name, Last name)	DUKE POLLARD
Position in Organization	CHAIRMAN & MANAGING DIRECTOR
Business Address	9TH FLOOR, ALBION PLAZA, 22-24 VICTORIA AVENUE, QUEENS PARK SAVANNAH, PORT OF SPAIN
Work Phone (1-xxx-xxx-xxxx)	1-868-610-2634
Fax Phone(1-xxx-xxx-xxxx)	
Email Address	dpollard@cmelitegroup.com

6. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

DUKE POLLARD                                            CHAIRMAN & MANAGING DIRECTOR                      JANUARY 26, 2023  
**Print Name**                                      **Signature**                                      **Position**                                      **Date**

**FOR OFFICIAL USE ONLY**

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : \_\_\_\_\_ Date (DD/MM/YYYY) \_\_\_\_\_