



Mar 03, 2023, 9:24 am

FORM 10A

MATERIAL CHANGE REPORT

Pursuant to Section 64 of the Securities Act, 2012

1. NAME OF REPORTING ISSUER

Name of Reporting Issuer
CAPITAL MARKETS ELITE GROUP (TRINIDAD & TOBAGO) LIMITED

2. DATE OF MATERIAL CHANGE

Date of material change
FEBRUARY 22, 2023

3. DESCRIPTION OF MATERIAL CHANGE

Provide a description of the material change
<p>EFFECTIVE FEBRUARY 22, 2023, CAPITAL MARKETS ELITE GROUP (TRINIDAD & TOBAGO) LTD HAS INTRODUCED A MAXIMUM CHARGE OF USD \$12.99 PER TRADE.</p> <p>ON JANUARY 13, 2023 WE NOTIFIED THE COMMISSION OF THE COMPANY'S AMENDMENT TO THE APPLICATION OF TRADE FEES FROM USD\$2.95 PER TRADE TO USD \$2.95 PER FILL. FURTHER TO THIS NOTIFICATION, THE COMPANY IS INTRODUCING A MAXIMUM CHAGRE OF USD \$12.99 PER TRADE. IRRESPECTIVE OF THE NUMBER OF FILLS IT TAKES TO CLOSE AN ORDER, THE MAXIMUM TRADE FEE THE CLIENT WILL PAY WILL BE \$12.99.</p> <p>OUR WEBSITE WIL BE UPDATED TO REFLECT THE INTRODUCTION OF THE MAXIMUM CHARGE AND ALL CUSTOMERS WILL BE NOTIFIED BY WAY OF AN EMAIL BROADCAST. FURTHERMORE, WE WILL BE PLACING AN ADVERTISEMENT IN TWO LOCAL NEWSPAPERS NOTIFYING THE INVESTING PUBLIC OF THE INTRODUCTION OF THE MAXIMUM CHARGE. THE ADVERTISEMENT WILL BE PLACED IN THE NEWSPAPERS ON OR BEFORE FRIDAY FEBRUARY 24, 2023.</p>


4. DETAILS OF PUBLICATION OF MATERIAL CHANGE

	YES	NO
Will you be seeking an exemption from publishing a notice in accordance with Section 64(2) of the Securities Act 2012?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

If “No”

Date of Publication of Notice (dd/mmm/yyyy)	ON OR BEFORE FRIDAY FEBRUARY 24, 2023
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If “Yes”


State the reasons for applying for the exemption


5. DETAILS OF SENIOR OFFICER

Name (First name, Last name)	DUKE POLLARD
Position in Organization	CHAIRMAN & MANAGING DIRECTOR
Business Address	9TH FLOOR, ALBION PLAZA, 22-24 VICTORIA AVENUE, QUEENS PARK SAVANNAH, PORT OF SPAIN
Work Phone (1-xxx-xxx-xxxx)	1-868-610-2634
Fax Phone(1-xxx-xxx-xxxx)	
Email Address	dpollard@cmelitegroup.com

6. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

DUKE POLLARD  CHAIRMAN & MANAGING DIRECTOR FEBRUARY 22, 2023

Print Name **Signature** **Position** **Date**

FOR OFFICIAL USE ONLY

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : _____ Date (DD/MM/YYYY) _____