

## CHECKLIST FOR REGISTRATION OF A REPORTING ISSUER

Name of Applicant: \_\_\_\_\_

	<b>Documents</b>	<b>Submitted</b>	<b>Comments</b>
<b>1.</b>	Registration Fee* – TT\$8,000.	<input type="checkbox"/>	
<b>2.</b>	Form 8 – Registration Statement.	<input type="checkbox"/>	
<b>3.</b>	Form 8 – Appendix I for each Director.	<input type="checkbox"/>	
<b>4.</b>	Certified copy of incorporation documents. Where incorporated abroad, you are also required to submit proof of registration as an external company.	<input type="checkbox"/>	
<b>5.</b>	Certified copy of most recent Annual Return that was filed with the Companies Registrar.	<input type="checkbox"/>	
<b>6.</b>	Latest Audited Financial Statements.	<input type="checkbox"/>	
<b>7.</b>	If seeking Approved Foreign Issuer designation: <ul style="list-style-type: none"> <li>• Form 16 – Certificate as an Approved Foreign Issuer.</li> <li>• Form 17 – Submission to Jurisdiction and Appointment of Agent.</li> <li>• Evidence of the Applicant's registration with any other regulatory authority.</li> </ul>	<input type="checkbox"/>	
<b>8.</b>	A list of the directorships held by current directors of the Applicant in other companies.	<input type="checkbox"/>	

\_\_\_\_\_  
Signature of Designated Person

\_\_\_\_\_  
Date

*\* Please note that all fees are payable at the submission of the application and are **non-refundable**. Additionally, please submit the completed checklist together with the application.*