

## CHECKLIST FOR APPROVAL OF A SUBSTANTIAL SHAREHOLDER

Name of Applicant: \_\_\_\_\_

|           | <b>Documents</b>   | <b>Submitted</b>         | <b>Comments</b> |
|-----------|--|--------------------------|-----------------|
| <b>1.</b> | Application Fee – TT\$1,000*   | <input type="checkbox"/> |                 |
| <b>2.</b> | Form 5 – Approval of Substantial Shareholders of Registrants Registered Under Section 51(1).   | <input type="checkbox"/> |                 |
| <b>3.</b> | If the applicant is an individual, please submit a Certified Copy of Government Issued Photo ID of the applicant.  | <input type="checkbox"/> |                 |
| <b>4.</b> | If the applicant is a company, please submit: <ul style="list-style-type: none"><li>• Certified copy of incorporation documents;</li><li>• Audited financial statements.</li></ul> | <input type="checkbox"/> |                 |

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

*\* Please note that all fees are payable at the submission of the application and are **non-refundable**. Additionally, please submit the completed checklist together with the application.*