

CHECKLIST FOR REGISTRATION OF A BRANCH OFFICE

Name of Applicant: _____

	Documents	Submitted	Comments
1.	Registration Fee* – TT\$3,000 per branch office.	<input type="checkbox"/>	
2.	Form 7 for each branch office being registered.	<input type="checkbox"/>	
3.	Copy of written supervisory, internal controls and risk management policies and procedures, if these are different from the parent registrant.	<input type="checkbox"/>	
4.	Description of business of a financial or securities nature that will be conducted at the Branch.	<input type="checkbox"/>	

Signature of Designated Person

Date

** Please note that all fees are payable at the submission of the application and are **non-refundable**. Additionally, please submit the completed checklist together with the application.*