

## TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Section 40 of the Securities Act, 2012

## **AND**

In the Matter of an Application by the Trinidad and Tobago Stock Exchange Limited for the addition of Rule 400(2) [Special Market Listing Requirements] and Rule 700s [Small and Medium Enterprises Mentorship Rules] to its Rules

**TAKE NOTICE** that the Trinidad and Tobago Securities and Exchange Commission ("the Commission") will convene a hearing to consider an application by the Trinidad and Tobago Stock Exchange Limited for the addition of Rule 400(2) [Special Market Listing Requirements] and Rule 700s [Small and Medium Enterprises Mentorship Rules] to its Rules.

The complete Statement of Substance and purpose as well as the proposed Small and Medium Enterprises Mentorship Rules can be accessed via the Commission's website at the following link: <a href="STATEMENT OF SUBSTANCE AND PURPOSE PROPOSED SMALL & MEDIUM ENTERPRISES">STATEMENT OF SUBSTANCE AND PURPOSE PROPOSED SMALL & MEDIUM ENTERPRISES</a> (SME) MENTORSHIP RULES & PROPOSED SPECIAL MARKET LISTING REQUIREMENT- SME MARKET RULE – TTSEC

**AND TAKE NOTICE** that this hearing will be an expedited hearing pursuant to Rule 11 of the Securities Industry (Hearings and Settlements) Practice Rules, 2008 and will be held via a virtual meeting platform on **Wednesday 17th August**, 2022 at 10:00 a.m.

Affected parties who wish to be heard at the hearing, may appear in person or by counsel. Such parties are requested to make written submissions addressed to the Commission's Corporate Secretary by 4:00 p.m. on Wednesday 10th August, 2022.

Please email <a href="mailto:hearings@ttsec.org.tt">hearings@ttsec.org.tt</a> or call the office of the Corporate Secretary to the Commission at 624-2991 Ext. 1286 or 1273 to indicate whether you will be attending and/or the name(s) of your representative(s) by **4:00p.m on Monday 15th August**, **2022**.

Members of the public wishing to attend the hearing should confirm their attendance using the contact information provided above by **Monday 15th August**, **2022** and indicate that they are attending as a member of the public.