



The Public is hereby notified of the list of valid registrants, by class of registrant, on the Register of the Trinidad and Tobago Securities and Exchange Commission, pursuant to Section 53(5)(a) of the Securities Industry Act, 1995 as at March 28, 2008.

Broker

	Broker Name	Company	Date Registration Due for Renewal
1	Akan, Myrnelle V.	West Indies Stockbrokers Limited	4-Dec-08
2	Ali, Salma	AIC Securities Limited	17-Nov-08
3	Alexander, Lisa Maria	Dehring, Bunting & Golding Limited	12-Dec-08
4	Alleyne, Harold	Caribbean Stockbrokers Limited	13-Dec-08
5	Fadahunsi, Osu Olayiwola	AIC Securities Limited	10-Oct-08
6	Gosein, Godfrey	Republic Securities Limited	9-May-08
7	James, Vernon	Dehring, Bunting & Golding Limited	10-Apr-08
8	Johnson, Alvin	Caribbean Stockbrokers Limited	4-Dec-08
9	Carrera-Justiz, Francisco	Credit Suisse Securities (USA) LLC	15-Aug-08
10	Manmohan, Adrian	West Indies Stockbrokers Limited	10-Apr-08
11	Mayers, Robert	CMMB Securities Limited	7-Mar-08
12	Montes, Francisco	Credit Suisse Securities (USA) LLC	15-Aug-08
13	Mullings, Donavan	Bourse Brokers Limited	4-Dec-08
14	Pariagh, Edgar	CMMB Securities Limited	15-Feb-09
15	Ramkhelawan, Subhas	Bourse Securities Limited	4-Dec-08
16	Salvary, Brent	Caribbean Money Market Brokers Limited	12-Jan-09
17	Seebaran, Madree	Bourse Securities Limited	9-Oct-08
18	Sheppard, George	West Indies Stockbrokers Limited	14-Nov-08
19	St. Louis, Leslie	CMMB Securities Limited	15-Mar-09

Individual Broker

	Broker Name	Address	Date Registration Due for Renewal
1	Clarke, Peter	64 Eagle Crescent, Fairways Maraval	4-Dec-08
2	Padmore, Winston	104 Columbus Circle, Westmoorings Port of Spain	4-Dec-08

Brokers in Government of Trinidad and Tobago Bonds Alone

	Broker Name	Company	Date Registration Due for Renewal
1	Darbasie, Karen	Citicorp Merchant Bank Limited	10-Oct-08
2	Hoy Sing Loy, Ameen	Citicorp Merchant Bank Limited	10-Oct-08
3	Keil, Fabian	Citicorp Merchant Bank Limited	10-Oct-08
4	Khodai, Keshwar	First Citizens Bank Limited	23-Jan-09
5	Lashley, Maria	Trinidad and Tobago Unit Trust Corporation	12-Dec-08
6	Maharaj, Varun	Ansa Merchant Bank Limited	10-Oct-08
7	Quashie, Theron	RBTT Merchant Bank Limited	10-Oct-08
8	Seepersad, Suzanne	FirstCaribbean International Bank (Trinidad and Tobago) Limited	10-Oct-08
9	Tang Nian, Stephen	RBTT Merchant Bank Limited	10-Oct-08

Dealers

	Dealer Name	Date Registration Due for Renewal
1	RBTT Merchant Bank Limited	4-Dec-08
2	Trinidad and Tobago Unit Trust Corporation	29-Nov-08

Investment Advisers

	Investment Adviser Name	Date Registration Due for Renewal
1	Alpha Savings & Trust Limited	5-May-08
2	Caribbean Investment Management Company (Trinidad) Limited	8-Nov-08
3	Colonial Life Insurance Company (Trinidad) Limited	7-Jun-08
4	Douglas, George Robert	15-Feb-09
5	Dynamic Equity Limited	16-Aug-08
6	FirstCaribbean International Bank (Trinidad & Tobago) Limited	31-May-08
7	Financial Concepts Limited	15-Jan-09
8	Funds International Limited	4-Dec-08
9	Global Financial Brokers Limited	4-Dec-08
10	Golding, Jon Charles	10-Apr-08
11	Guardian Life of the Caribbean Limited	12-Oct-08
12	Husain, Syed Samie	7-Sep-08
13	Intelligent Investment Services Limited	13-Jun-08
14	International Wealth and Investment Network Limited	13-Jun-08
15	Marquis Portfolio Managers Limited	12-Jan-09
16	Mondial (Trinidad) Limited	4-Dec-08
17	Mottley, Wendell	9-May-08
18	RBTT Asset Management Limited	1-Oct-08
19	RBTT Financial Advisers Limited	1-Oct-08
20	Republic Securities Limited	4-Dec-08
21	The Beacon Asset Management Limited	7-May-08
22	Trinidad & Tobago Unit Trust Corporation	4-Oct-09

23	Vega Capital Management Limited	14-Apr-08
24	Welthecon Investment Managers Limited	10-Apr-08

Reporting Issuer

	Reporting Issuer Name
1	AddVenture Capital Fund Limited
2	Agostini's Limited
3	AIC Corporate Fund Inc.
4	Airports Authority of Trinidad and Tobago
5	Alstons Limited
6	Angostura (Barbados) Limited
7	Angostura Holdings Limited
8	Angostura Limited
9	ANSA McAL Limited
10	Ansa Merchant Bank Limited
11	Berger Paints Trinidad Limited
12	BWIA West Indies Airways Limited
13	Capital & Credit Merchant Bank Limited
14	Caroni (1975) Limited
15	Citibank (Trinidad and Tobago) Limited
16	Citicorp Merchant Bank Limited
17	CLICO Investment Bank Limited
18	Cool Petroleum (St. Lucia) Limited IBC
19	DB&G Caribbean - Global Bond Fund Inc
20	Dehring, Bunting and Golding Limited
21	Development Finance Limited
22	Dynamic Equity Fund II Limited
23	Dynamic Equity Venture Fund Limited
24	Empresa Generadora de Electricidad Haina, S.A. (EGE Haina)
25	FirstCaribbean International Bank Limited
26	First Citizens Bank Limited
27	First Citizens Asset Management Limited
28	Flavorite Foods Limited
29	FNCU Venture Capital Company Limited
30	Fortress Caribbean Property Fund Limited
31	Furness Trinidad Limited
32	GraceKennedy Limited
33	Guardian Holdings Limited
34	Intercommercial Bank Limited
35	Jamaica Money Market Brokers Limited
36	Jamaica Public Service Company
37	L.J. Williams Limited
38	La Brea Industrial Development Company Limited
39	Life Settlements Funds Limited
40	Mega Insurance Company Limited
41	Mora Ven Holdings Limited
42	National Commercial Bank Jamaica Limited
43	National Enterprises Limited
44	National Flour Mills Limited
45	National Insurance Property Development Company Limited

46	National Maintenance Training and Security Company Limited
47	Neal & Massy Holdings Limited
48	Nestle Trinidad and Tobago Limited
49	One Caribbean Media Limited
50	Pan Caribbean Asset Management Limited
51	Phoenix Park Gas Processors Limited
52	Point Lisas Industrial Port Development Corporation Limited
53	Port Authority of Trinidad and Tobago
54	Prestige Holdings Limited
55	Public Transport Service Corporation
56	RBC Holdings (Trinidad and Tobago) Limited
57	RBTT Bank (Barbados) Limited
58	RBTT Bank Limited
59	RBTT Finance Limited
60	RBTT Financial Holdings Limited
61	RBTT Merchant Bank Limited
62	Readymix (West Indies) Limited
63	Republic Bank Limited
64	Republic Finance and Merchant Bank Limited
65	Royal Bank of Canada
66	Royal Skandia Life Assurance Limited
67	Sagicor Financial Corporation
68	Sagicor Funds Incorporated
69	Sagicor Merchant Limited
70	Schroder Investment Management (Luxembourg) S.A
71	Scotiabank Trinidad and Tobago Limited
72	St Christopher Air and Sea Ports Authority
73	St. Kitts Urban Development Corporation Limited
74	TCL Leasing Limited
75	TCL Service Limited
76	Telecommunications Services of Trinidad and Tobago Limited
77	The Barbados Shipping & Trading Company Limited
78	The Home Mortgage Bank
79	The National Football Stadium Company Limited
80	The Vehicle Management Corporation of Trinidad & Tobago Limited
81	The West Indian Tobacco Company Limited
82	Tourism & Industrial Development Company of Trinidad & Tobago Limited
83	Transjamaican Highway Limited
84	Trinidad & Tobago Housing Development Corporation
85	Trinidad & Tobago Unit Trust Corporation
86	Trinidad Cement Limited
87	Trinidad Publishing Company Limited
88	Trinidad Select Index Fund Limited
89	Unilever Caribbean Limited
90	Urban Development Corporation of Trinidad & Tobago Limited
91	UTC Mutual Funds SPC Limited
92	Valpark Shopping Plaza Limited
93	Water & Sewerage Authority

Securities Company

	Securities Company Name	Classes of Business	Date Registration Due for Renewal
1	AIC Capital Market Brokers Limited	Dealer, Underwriter, Investment Adviser	17-Mar-09
2	AIC Securities Limited	Broker, Dealer, Underwriter, Investment Adviser	9-Oct-08
3	Ansa Merchant Bank Limited	Broker in Government of Trinidad and Tobago Bonds, Dealer, Underwriter, Investment Adviser	4-Dec-08
4	Bourse Brokers Limited	Broker, Dealer	11-Jan-09
5	Bourse Securities Limited	Broker, Dealer, Underwriter, Investment Adviser	4-Dec-08
6	Caribbean Money Market Brokers Limited	Broker, Dealer, Underwriter, Investment Adviser	26-Apr-08
7	Caribbean Stockbrokers Limited	Broker, Dealer, Underwriter, Investment Adviser	13-Jun-08
8	Citicorp Merchant Bank Limited	Broker in Government of Trinidad and Tobago Bonds, Dealer, Underwriter	10-Oct-08
9	CLICO Investment Bank Limited	Underwriter, Investment Adviser	15-Jan-09
10	CMMB Securities Limited	Broker, Dealer, Underwriter, Investment Adviser	7-Mar-09
11	Dehring, Bunting and Golding Limited	Broker, Dealer, Underwriter, Investment Adviser	13-Jun-08
12	Development Finance Limited	Dealer, Underwriter, Investment Adviser	4-Dec-08
13	First Citizens Asset Management Limited	Dealer, Underwriter, Investment Adviser	10-Apr-09
14	First Citizens Bank Limited	Broker in Government of Trinidad and Tobago Bonds, Underwriter, Investment Adviser	10-Jan-09
15	First Citizens Securities Trading Limited	Dealer, Underwriter, Investment Adviser	4-Dec-08
16	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Broker in Government of Trinidad and Tobago Bonds	10-Oct-08
17	Firstline Securities Limited	Dealer, Underwriter, Investment Adviser	2-Mar-09
18	General Finance Corporation Limited	Dealer, Underwriter, Investment Adviser	21-Feb-09
19	Guardian Asset Management Limited	Dealer, Underwriter, Investment Adviser	19-Jul-08
20	Intercommercial Trust & Merchant Bank Limited	Dealer, Underwriter, Investment Adviser	1-Oct-08
21	One1 Financial Limited	Dealer, Underwriter, Investment Adviser	13-Jul-08
22	RBTT Merchant Bank Limited	Broker, Dealer, Underwriter	4-Dec-08
23	Republic Bank Limited	Dealer, Underwriter, Investment Adviser	4-Dec-08
24	Republic Finance and Merchant Bank Limited	Underwriter	4-Dec-08
25	Republic Securities Limited	Broker	4-Dec-08
26	Sagikor Life Inc.	Dealer, Underwriter, Investment Adviser	11-Jan-09

27	Sagicor Merchant Limited	Dealer, Underwriter, Investment Adviser	12-Oct-08
28	Scotiabank Trinidad & Tobago Limited	Underwriter	4-Dec-08
29	Scotiastrust and Merchant Bank Trinidad and Tobago Limited	Dealer, Underwriter, Investment Adviser	4-Dec-08
30	Trinidad & Tobago Unit Trust Corporation	Broker in Government of Trinidad and Tobago Bonds, Dealer, Underwriter, Investment Adviser	12-Dec-08
31	West Indies Stockbrokers Limited	Broker, Dealer, Underwriter, Investment Adviser	9-May-08

Self-Regulatory Organizations

	Name	Date Registration Due for Renewal
1	The Trinidad and Tobago Stock Exchange Limited	11-Aug-08
2	The Trinidad and Tobago Central Depository Limited	8-Nov-08

Trader

	Trader Name	Company	Date Registration Due for Renewal
1	Alexander, Lisa Maria	Dehring, Bunting and Golding Limited	12-Sep-08
2	Basdeo, Roshan	Bourse Securities Limited	27-Sep-08
3	Bridgewater, Joanne	Republic Securities Limited	9-May-08
4	Eve, Patricia	Republic Securities Limited	23-Jun-08
5	Fletcher, Clair Rose	West Indies Stockbrokers Limited	13-Jun-08
6	Gajadar, Kerry	Caribbean Stockbrokers Limited	7-Sep-08
7	Inniss-Bernard, Judy	Republic Securities Limited	4-Dec-08
8	Kabeera, Dinesh	Bourse Securities Limited	8-Nov-08
9	Lackan, Rajesh	Republic Securities Limited	15-Feb-09
10	Lamy, Lorin Shereece	AIC Securities Limited	9-Aug-08
11	Lee, Sean	Caribbean Stockbrokers Limited	7-Sep-08
12	Leons, Keron	Caribbean Money Market Brokers Limited	17-Sep-08
13	Mahabirsingh, Sherma	Caribbean Money Market Brokers Limited	17-Sep-08
14	Ramnath-Singh, Gail	Caribbean Stockbrokers Limited	4-Dec-08
15	Salloum, Christiane	CMMB Securities Limited	13-Jan-09
16	Savary, Hilary	AIC Securities Limited	17-Feb-09
17	Thomas, Rowland Marc	West Indies Stockbrokers Limited	12-Sep-08
18	Vieria, Lisa Ann	AIC Securities Limited	16-Feb-09

Traders in Government of Trinidad and Tobago Bonds Alone

	Trader Name	Company	Date Registration Due for Renewal
1	Ghany, Rianna Sarah	First Citizens Bank Limited	31-Jan-09
2	Martineau, Dan	Ansa Merchant Bank Limited	13-Jan-09
3	Melville, Cecila	Citicorp Merchant Bank Limited	10-Oct-08
4	Monteil, Kieran	Citicorp Merchant Bank Limited	10-Oct-08
5	Wallace, Chivonne	First Citizens Bank Limited	31-Jan-09

Underwriters

	Underwriter Name	Date Registration Due for Renewal
1	FirstCaribbean International Bank (Bahamas) Limited	13-Jun-08
2	FirstCaribbean International Bank (Trinidad & Tobago) Limited	4-Dec-08
3	RBTT Merchant Bank Limited	4-Dec-08
4	Trinidad & Tobago Unit Trust Corporation	27-Sep-08