

NAME OF FORM: COLLECTIVE INVESTMENT SCHEME (CIS) INVESTOR BREAKDOWN SEC-MMRF09

REFERENCE NUMBER	AREA/ITEM	INSTRUCTIONS
	CIS INVESTOR BREAKDOWN	<p>This form collects information on the type of investors in a CIS as at the end of the reporting period. For a CIS issuing different classes of units, a separate CIS Investor Breakdown form must be completed and submitted for each class.</p> <p>Unless otherwise stated all amounts are to be expressed in TTD.</p>
	Number of Units/Shares	State the total number of units/shares held by each category of investor.
	Value of Units	State the total value of units/shares held by each category of investor.
9001	TT Credit Unions	State the number and value of units/shares held by TT Credit Unions.
9002	TT Banks	State the number and value of units/shares held by TT Banks.
9003	TT Mutual Funds (CISs)	State the number and value of units/shares held by CISs constituted and managed in T&T.
9004	TT Pension Funds	State the number and value of units/shares held by all pension funds and deferred annuities constituted and managed in T&T.
9005	TT Insurance Companies	State the number and value of units/shares held by Insurance companies licensed under the Insurance Act, 1980.
9006	TT Securities Intermediaries	State the number and value of units/shares held by Securities Intermediaries.
9007	TT Other Corporations	State the number and value of units/shares held by corporate entities domiciled in T&T which have not be referenced in 9001-9006.
9008	TT Government Related Agencies	State the number and value of units/shares held by T&T Government Related Agencies.
9009	TT Investors	State the number and value of units/shares held by individuals domiciled within T&T.
9010	Foreign Investors	State the number and value of units/shares held by all investors, both corporate and individual not domiciled in T&T.