



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 66(1) of the Securities Act, 2012**

**by**

**Trinidad and Tobago Mortgage Finance Company Limited**

**ORDER OF THE COMMISSION**

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Dated this 21<sup>st</sup> day of September, 2017

**WHEREAS** Trinidad and Tobago Mortgage Finance Company Limited (“**the Respondent**”) filed its interim financial statements with the Commission for its interim period ended March 31, 2017, on June 2, 2017, past the due date of May 31, 2017;

**AND WHEREAS** by letter dated July 6, 2017 staff of the Commission informed the Respondent that it was in breach of section 66(1) of the Securities Act, 2012 (“the Act”) by filing its interim financial statements with the Commission for its interim period ended March 31, 2017, on June 2, 2017, past the due date of May 31, 2017;

**AND WHEREAS** by letter dated July 26, 2017 the Respondent acknowledged that it was in Contravention of section 66(1) of the Act, and paid the administrative fine for the late filing of the said interim financial statements.

**AND UPON:**

The Board of Commissioners considering the submissions in **Board Paper No. 043 of 2017** and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 (10) (a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Trinidad and Tobago Mortgage Finance Company Limited (“the Respondent”) has contravened Section 66(1) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent has paid to the Commission an administrative fine in the sum of Two Thousand Trinidad and Tobago Dollars (TT\$2,000.00), receipt of which the Commission hereby acknowledges; and
3. The Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**