



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of  
Section 64(1)(b) of the Securities Act, 2012 and**

**By**

**JMMB GROUP LIMITED**

**ORDER OF THE COMMISSION**

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Dated this 21<sup>st</sup> day of September, 2017

**WHEREAS** JMMB Group Limited (“the Respondent”) failed to publish one of its Notice of Material Change on or before January 12, 2017;

**AND WHEREAS:**

1. The Respondent filed its Form 10- Material Change Report with the Commission on January 4, 2017;
2. The Respondent published its Notice of Material Change in the Trinidad Guardian on January 13, 2017, past the due date of January 12, 2017;
3. By letter dated June 28, 2017, Staff of the Commission advised the Respondent that it was in contravention of Section 64(1)(b) of the Securities Act, 2012 (“the Act”);

4. By e-mail dated July 20, 2017 the Respondent confirmed its agreement to pay to the Commission the administrative fine in the sum of **One Thousand Trinidad and Tobago Dollars (TT\$1,000.00)**.

**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 046 of 2017 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. JMMB Group Limited (“the Respondent”) has contravened section 64(1)(b) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00); and
3. The Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

**BY ORDER OF THE COMMISSION**