

# FORM 22

## TRADING REPORT OF A PERSON CONNECTED TO A REPORTING ISSUER

*Pursuant to sections 136(1), 136(2) and 136(3) of the Securities Act, 2012 and by-law 81 of the Securities (General) By-Laws, 2013*

**GENERAL  
INSTRUCTIONS**

This report must be completed by Senior Officers or Controlling Shareholders of a reporting issuer where that person's interest, direction or control over securities of the reporting issuer changes.

Where a person connected to the reporting issuer does not own or have control or direction over securities of the reporting issuer, or where such person's ownership or direction or control over securities of the reporting issuer remains unchanged from the last report filed, a report is not required.

The Completed Form should be submitted to:

The Director  
Market Regulation & Surveillance Division  
Trinidad and Tobago Securities and Exchange Commission  
57-59 Dundonald Street  
Port of Spain  
Trinidad

**BOX 1**

**Name of the reporting issuer**

State the exact name as specified in the reporting issuer's constituting or organizational documents. Complete a separate report for each reporting issuer.

**BOX 2**

**Name, address and telephone number of the Connected Person**

Provide your name, address and business telephone number.

If you have filed a report before, indicate whether your contact information has changed.

**BOX 3**

**Person connected to reporting issuer data**

Indicate all of your relationship(s) to the reporting issuer using the following codes:

Security holder who beneficially owns or who exercises control or direction over more than 10% of the voting securities of the reporting issuer	1
Director of a reporting issuer	2
Senior officer (other than a Director) of a reporting issuer	3

If you have filed a report before, indicate whether your relationship to the reporting issuer has changed.

Specify the date of the last report you filed, and if it is an initial report, the date on which you became a connected person.

**BOX 4**

**Connected Person holdings and changes**

Show direct and indirect holdings separately, both in the initial report and where a transaction is reported. Indicate only one transaction per line.

For an initial report complete only:

- A. designation of class of securities held.
- D. present balance of class of securities held.
- E. nature of ownership (see List of Codes).

F. identification of the registered holder where ownership is indirect.

If you acquired or disposed of securities while you were a Connected Person, complete sections A to F:

- A. Indicate a designation of the securities traded that is sufficient to identify the class, including yield, series or maturity.
- B. Indicate the number of securities, or for debt securities, the aggregate nominal value of the class held, directly and indirectly, before the transaction that is being reported.
- C. Indicate for each transaction:
- ? the date of the transaction (not the settlement date).
  - ? the nature of the transaction (see List of Codes).
  - ? the number of securities acquired or disposed of, or for debt securities, the aggregate nominal value.
  - ? the unit price paid or received on the day of the transaction, excluding the commission.
  - ? if the report is in a currency other than Trinidad and Tobago Dollars, indicate the currency in the space provided (e.g. EUR, USD, etc)

#### LIST OF CODES

##### *Nature of transaction*

##### *General*

Acquisition or disposition in the public market	1
Acquisition or disposition carried out privately	2
Acquisition or disposition under a prospectus	3
Acquisition or disposition under a prospectus exemption	4
Acquisition or disposition pursuant to a takeover bid, merger or acquisition	5
Acquisition or disposition under a purchase/ownership plan	6
Stock dividend	7
Conversion or exchange	8
Stock split or consolidation	9
Redemption/retraction/cancellation/repurchase	10
Short sale	11
Compensation for property	12
Compensation for services	13
Acquisition or disposition by gift	14
Acquisition by inheritance or disposition by bequest	15

##### *Issuer Derivatives*

Grant of options	16
Exercise of options	17
Expiration of options	18
Grant of warrants	19
Exercise of warrants	20
Expiration of warrants	21
Grant of rights	22
Exercise of rights	23
Expiration of rights	24

##### *Third Party Derivatives*

Acquisition or disposition (writing) of third party derivative	25
Exercise of third party derivative	26
Other settlement of third party derivative	27
Expiration of third party derivative	28

##### *Miscellaneous*

Change in nature of ownership	29
Other	30

D. Indicate the number of securities, or in the case of debt securities, the aggregate nominal value, of the class held, directly or indirectly, after the transaction that is being reported.

E. Indicate the nature of ownership, control or direction in respect of the class of securities held using the following codes:

Direct ownership	0
Indirect ownership (identify the registered holder)	1
Control or direction (identify the registered holder)	2

F. For securities that are indirectly held, or over which control or direction is exercised, identify the registered holder.

**BOX 5**

**Remarks**

Add any explanation necessary to make the report clearly understandable.

If space provided for any item is insufficient, additional sheets may be used. Additional sheets must refer to the appropriate Box and must be properly identified and signed.

**BOX 6**

**Signature and filing**

Sign and date the report.

File one copy of the report with the Trinidad and Tobago Securities and Exchange Commission within the prescribed time limits.

Manually sign the report.

Legibly print or type the name of each individual signing the report.

If the report is filed on behalf of a company, partnership, trust or other entity, legibly print or type the name of that entity after the signature.

If the report is signed on behalf of an individual by an agent, there shall be filed with each jurisdiction in which the report is filed a duly completed power of attorney.

**FORM NO. 22 - T RADING REPORT OF A PERSON CONNECTED TO A REPORTING ISSUER**

*Pursuant to sections 136(1), 136(2) and 136(3) of the Securities Act, 2012 and by-law 81 of the Securities (General) By-Laws, 2013*

**1. IDENTIFICATION OF THE REPORTING ISSUER**

NAME OF REPORTING ISSUER

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**2. IDENTIFICATION OF THE PERSON CONNECTED TO THE REPORTING ISSUER**

FAMILY NAME OR CORPORATE NAME

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GIVEN NAMES (IN ORDER)

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No.                      STREET    APT.

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CITY, COUNTRY    POSTAL CODE

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BUSINESS TELEPHONE NUMBER                      BUSINESS FAX NUMBER

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CHANGE FROM PREVIOUS REPORT                      YES                       NO

**3. PERSON CONNECTED TO THE REPORTING ISSUER**

RELATIONSHIP TO REPORTING ISSUER	DATE OF LAST REPORT FILED.
	<u>OR</u>
CHANGE IN RELATIONSHIP FROM LAST REPORT	IF INITIAL REPORT; DATE ON WHICH YOU BECAME CONNECTED TO THE REPORTING ISSUER
YES <input type="checkbox"/> No <input type="checkbox"/>	

**4. HOLDINGS AND CHANGES OF PERSON CONNECTED TO THE REPORTING ISSUER (IF INITIAL REPORT, COMPLETE COLUMNS A, D, E AND F ONLY. SEE ALSO INSTRUCTIONS TO BOX 4)**

A		B		C						D	E	F
DESIGNATION OF CLASS OF SECURITIES		BALANCE OF CLASS OF SECURITIES ON LAST REPORT		TRANSACTIONS						PRESENT BALANCE OF CLASS OF SECURITIES HELD	DIRECT/INDIRECT OWNERSHIP/CONTROL OR DIRECTION	IDENTIFY THE REGISTERED HOLDER WHERE OWNERSHIP IS INDIRECT OR WHERE CONTROL OR DIRECTION IS EXERCISED
				DATE	NATURE	NUMBER/VALUE ACQUIRED	NUMBER/VALUE DISPOSED OF	UNIT PRICE/ EXERCISE PRICE	CURRENCY			
				DAY	MONTH	YEAR						

ATTACHMENT                      YES                       No

**5. REMARKS**

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I, the undersigned, hereby certify that, to the best of my knowledge and belief, the information given in this report and any attachment provided thereto is true and complete in every respect. It is an offence to file a report that, at the time and in light of the circumstances in which it is made, contains a misrepresentation.

**6. SIGNATURE**

NAME (BLOCK LETTERS)	SIGNATURE	DATE OF THE REPORT	DAY	MONTH	YEAR