

# **FORM 10**

## MATERIAL CHANGE REPORT

### Pursuant to Section 64 of the Securities Act, 2012 and by-law 50 of the Securities (General) By-Laws, 2013

Please complete all relevant sections; where the allocated space is insufficient, you may continue on a separate page and attach to the completed form. All supporting information and attached pages should be appropriately numbered and referenced. This report and any attachments should be certified by a Senior Officer of the Reporting Issuer. Completed reports should be submitted to: The Director Market Regulation & Surveillance Trinidad and Tobago Securities and Exchange Commission 57-59 Dundonald Street Port of Spain Trinidad
State the name of the reporting issuer, and include its business address.
State the date on which the material change occurred.
Provide sufficient disclosure regarding the material change to enable a reader to appreciate the nature and substance of the material change without having to refer to any other sources. Examples of matters that would be subject to disclosure include: dates, parties, terms and conditions, effect on financial condition, value, reasons for the change, purpose of the change, and a general comment on the probable impact of the material change on the reporting issuer.
State whether the issuer is seeking an exemption for publishing a notice in accordance with Section 64(2) of the Securities Act 2012, and complete the associated "yes" or "no" sections of the form accordingly.
Give the name, position, business telephone number and email address of a senior officer of the reporting issuer who may be contacted to discuss further details regarding the material change.
Include the signature of the Senior Officer identified in Item 5, confirming the material change report, and certifying the statement outlined.

# **FORM 10**

# **MATERIAL CHANGE REPORT**

### Pursuant to section 64 of the Securities Act, 2012 and by-law 50 of the Securities (General) By-Laws, 2013

1. NAME OR REPORTING ISSUER

Name of Reporting Issuer First Citizens Investment Services Limited

2. DATE OF MATERIAL CHANGE

Date of material change

May 26, 2015

3. DESCRIPTION OF MATERIAL CHANGE

Provide a description of the material change

Ms. Karen Darbasie was appointed a Director of First Citizens Investment Limited with effect from May 26th, 2015.

4.	DETAILS OF PUBLICATION OF MATERIAL CHANGE

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	YES	NO
Will you be seeking an exemption from publishing a notice in accordance with section 64(2) of the Securities Act 2012?		X
	Will you be seeking an exemption from publishing a notice in accordance with	Will you be seeking an exemption from publishing a notice in accordance with

#### If "No"

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Proposed Date of Publication of Notice (dd/mmm/yyyy)

JUNE 02, 2015

### If "Yes"

State the reasons for applying for the exemption

#### 5. DETAILS OF SENIOR OFFICER

Name (First name, Last name)	Lindi Ballah-Tull
Position in Organization	
	Head, Legal and Compliance
Business Address	#9 Queen's Park East, Port of Spain
Work Phone (1-xxx-xxx-xxxx)	624-3178 ext 3070
Fax Phone(1-xxx-xxx-xxxx)	621-0055
Email Address	lindi.ballah-tull@firstcitizenstt.com

#### 6. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

A		
LINDI BALLAH-TULL	HEAD - LEGAL & COMPLIANCE	MAY 27th, 2015
Print Name Signature	Position	Date

### FOR OFFICIAL USE ONLY

Tool	ID Information	
Registrant's Number		
Director's Number		
Document / Record Number		
Record's Management Date Received (dd/mm/yyyy)		
Approved By :	_ Date (DD/MM/YYYY)	

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